

# Schindler Global Award 2017 Competition Rules

Transforming the urban core:  
Urban design for coexistence  
São Paulo, Brazil



**Schindler**

Academic Partner

**ETH** zürich

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# 1 Contracting Authority

**The Schindler Group**, a global mobility company specializing in elevator and escalator solutions is the contracting authority. The Schindler Group is in particular responsible for choosing the appropriate procedure and the competition type, for publishing the call to tender, for selecting the members of the jury and eventual experts, for undertaking the necessary clarifications, for preparing these Competition Rules (herein also referred to as “competition brief”), for determining the total prize-money sum, for approving the competition participants, for ensuring anonymity, for undertaking the technical control of the competition entries and for establishing the subsequent report, as well as for the publication of the results of the competition.

For these tasks, the Schindler Group asks the **Chair of Architecture and Urban Design of Prof. Ir. Christiaanse** to be the academic partner. Said professionals are familiar with the essence of competitions and the procedural regulations and qualified so as capable of advising the Schindler Group competently. They advise the Schindler Group during the entire competition procedure and will moderate the jury session.

The commission for competition and study assignments of the Swiss Society of Engineers and Architects (SIA) examined the competition program. It is compliant to the SIA 142 rules for architecture and engineer competitions (2009 edition) under the constraints of a student competition, which explicitly also includes the total prize-money sum.

The responsible contacts for their respective organizations are the following:

Competition Management email contact: [info@schindleraward.com](mailto:info@schindleraward.com)



**Ms. Andrea Murer**

***Project Leader***

Schindler Management Ltd.

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**Prof. Ir. Kees Christiaanse**

***Award Program Leader***

ETH Zürich / Switzerland

Chair of Architecture and Urban Design

Institute of Urban Design / Network City and Landscape

**Ms. Fabienne Hoelzel**

**Mr. Dimitri Kron**

***Academic Project Management***

ETH Zürich / Switzerland

Chair of Architecture and Urban Design

Institute of Urban Design / Network City and Landscape

## 2 Procurement Form and Procedure

### 2.1 Type of Competition: Ideas Competition

The Schindler Global Award is an ideas competition. The objective of an idea competition is to procure conceptual proposals or solutions, which are only generally circumscribed and defined, without the intention realizing the project. In this regard the aim of the Schindler Global Award is to further promote the training of students in their discipline of study and thus the promotion of young architects, designers and planners. The Schindler Global Award does not lead to a commission and it does not imply any kind of further work or contract arrangements.

### 2.2 Competition Stages: One Stage Competition

The Schindler Global Award will be held as a single stage competition. This will guarantee a simple and efficient process.

### 2.3 Procedure Type: Open Procedure

All interested Participants (as defined in Section 11 herein) who are eligible to take part in the competition according to Sections 5 herein, may submit an entry for evaluation. The total number of Participants in the competition is unlimited, and not subject to any kind of quota or maximum per country or by any other means. This procedure will allow a diverse range of solutions.

### 2.4 Language: English

The working language of the Schindler Global Award competition is English. All documents, correspondence, the award ceremony and any other communication related to the Schindler Global Award will be in English.

### 2.5 Judging Procedure

All projects submitted for the competition will go through the following procedure:

#### **Technical evaluation:**

To ensure that all competition entries are judged fairly and equally, projects submitted will first be considered in a formal, technical pre-selection process by the Schindler Global Award competition organization and checked for their completeness.

#### **The judging:**

All Projects that pass the technical evaluation round will then be judged by the jury, which will select a minimum of three (3) and maximum of twelve (12) winners.

### 2.6 Information Exchange: Website

All relevant information regarding the competition organization, the competition task, and news about the Schindler Global Award will be published on the official Schindler Global Award website: [www.schindleraward.com](http://www.schindleraward.com). A selection of answers to specific questions regarding the competition task, which are submitted via email to the competition management at [info@schindleraward.com](mailto:info@schindleraward.com), will also be published on the competition website. All Participants are advised to check the competition website on a regular basis.

## 2.7 Anonymity

All competition material submitted shall be anonymous. No hint to the identity of the author of the project shall be allowed. During registration, each Participant will receive a unique number, which needs to be inscribed on every document submitted for the purpose of identification. The anonymity of the Participants in the competition ensures fairness, transparency and equal opportunity and guarantees an objective evaluation by the jury.

## 3 Declaration of Commitment to SIA 142

In order to assure a fair and equitable competition, the contracting authority declares that it will follow the Swiss Society of Engineers and Architects (SIA) guidelines for competitions. It officially states that the SIA 142, edition 2009 is the binding document. The certification of the SIA confirms that the content of these Competition Rules is in accordance with the regulations of SIA 142. This shall be an assurance of confidence in the procedures of the competition for the contracting authority and the participant.

## 4 Public Procurement Markets

The Schindler Global Award is not subject of any public procedure market. Subject to mandatory law, no supplementary terms and conditions beside these Competition Rules need to be considered.

No mandate results out of the ideas competition.

## 5 Eligibility for Participation

The Schindler Global Award competition is open for entry by enrolled students as follows: Bachelor's degree candidates in their final and/or thesis year and Master's degree candidates, along with their international equivalents in the fields of architecture, landscape architecture, urban design and planning. The supervision by a university faculty member is required for all competition entries. The supervising faculty member must be named at registration.

One registration is required for each team or individual who wishes to participate. Each student may only participate as either part of a single team or individually. All Participants must provide an e-mail address through which they can be contacted. All registrations will be confirmed by email within seven days.

Each Participant whose registration is accepted will receive an official entry number. This entry number shall thereafter be quoted in all future correspondence with the competition management.

Participants who register to enter the competition as a team must designate one member of the team as a spokesperson to whom all correspondence will be addressed.

## 5.1 Proof of Suitability

Proof of current enrollment at a university in one of the eligible disciplines and student years is required, as well as a confirmation of the overseeing faculty member. Indications regarding the university, department or faculty, chair and name of the supervising faculty member are required. Upon registration by the Participants, an email will be sent to the named supervising faculty member to officially acknowledge their oversight of the eligible team via an automatic confirmation link which will be submitted to the competition management.

## 5.2 Due Date for Eligibility

The eligibility requirements need to be fulfilled at the day of registration and throughout the competition. If the Participants do not meet the requirements it will lead to exclusion from the competition.

## 5.3 Team Composition

Interdisciplinary teams that include Participants from outside of the eligible disciplines are permitted but not mandatory. If such groups are formed, a minimum of one person on the team needs to be from the discipline of architecture, urban design, planning or landscape architecture.

## 5.4 Exclusions

*“A competition entry must be excluded:*

- a) from the evaluation process, if it is not delivered punctually, if it arrives incomplete with respect to essential material, if it is illegible, if it lets presume unfair intentions, or if its author violates the principle of anonymity;*
- from the awarding of prizes, if it deviates substantially from the terms specified in the competition brief.” (SIA 142, Art. 19.1)*

# 6 Prizes

A decision of the jury with a simple majority is required.

The contraction authority will give the prize to the official contact person for any team awarded. This official contact person was designated at the beginning of the competition. The contracting party or management team is not responsible for distributing the prize within the team.

## 6.1 Prize-money Sum

A total prize sum of 105,000 USD will be awarded for the Schindler Global Award.

## 6.2 Number and Distribution of Prizes

The jury will nominate a minimum of three (3) and a maximum of twelve (12) projects, from which the winners will be selected. A maximum of two representatives from each of the nominated teams, along with each nominated team's supervising faculty member will be invited to attend the award ceremony. Schindler will reimburse the expenses for the journey and accommodation of up to two students per nominated team, along with their official supervising faculty member.

The competition organizers recommend the following distribution of the prize-money as stated below. However, the jury is free to assign the ranks, the related award categories and the respective prize-money.

1<sup>st</sup> Prize 25,000 USD

2<sup>nd</sup> Prize 15,000 USD

3<sup>rd</sup> Prize 12,500 USD

1<sup>st</sup> Honorable mention 7,500 USD

2<sup>nd</sup> Honorable mention 7,500 USD

3<sup>rd</sup> Honorable mention 7,500 USD

6 Travel grants 5,000 USD

### **6.2.1 Honorable Mentions**

Outstanding competition entries that have not been awarded 1<sup>st</sup>, 2<sup>nd</sup> or 3<sup>rd</sup> prize can be awarded a honorable mention with a suggested prize sum of 7,500 USD. The jury can adapt the number and the amount of the honorable mention during the jury meeting.

### **6.2.2 Travel Grants**

Competition entries that express an outstanding idea in one specific topic demanded in the Award can be awarded a travel grant with a suggested prize sum of 5,000 USD. The winners are asked to propose a travel schedule, with content related to theme and aims of the competition. A documentation package of the trip and the resultant findings are required once the trip has taken place, specific instructions for this will be issued with the prize.

## **7 Commission**

### **7.1 Declaration of Intent**

The Schindler Global Award is an ideas competition, which will not lead to a concrete realization or to a mandate. Consequently the contracting authority and the winners have no further reciprocal obligation after the award ceremony and the payment of the prize-money.

### **7.2 Team Building**

As no mandate results out of the ideas competition, no terms concerning the team building after the competition need to be agreed upon.

### **7.3 Contract Terms**

As no mandate results out of the ideas competition, no contract terms need to be agreed upon.

### **7.4 Remuneration Terms**

As the Schindler Global Award is an ideas competition, no mandate results out of it, no remuneration terms need to be agreed upon and no acquisition will be effected.

## 8 Property Rights and Publication

### 8.1.1 Ownership and Intellectual Property

The contracting authority retains ownership of the intellectual property of the competition material provided to the Participants of the competition.

*"In all competitions, entries remain the intellectual property of the Participants who maintain their author rights. Submitted documents that belong to competition entries awarded prizes or honourable mentions become the property of the contracting authority." (SIA 142, Art. 26.1)*

### 8.1.2 License of Competition Material

For the duration of the competition, the contracting authority shall grant the Participants a non-exclusive, non-transferable, revocable, royalty-free right to use the competition material for the purpose of participating in the Schindler Global Award and to make derivative works thereof for the competition entries.

### 8.1.3 Publication Rights

Publication rights for the competition entries are shared by Schindler Group; ETH Zürich; and the Participants. Schindler Group and ETH Zürich may each publish individually the competition entries and their related documents without consent by, and accounting to, the Participants.

The contracting authority and the project authors or group of authors must be named in any publication.

## 9 Cases of Dispute

*"The jury decisions in matters of appreciation cannot be disputed." (SIA 142, Art. 28.4)*

## 10 Jury

- 1) **Prof. Dr. Ciro Biderman** (Economist, Professor of Public Administration and Government at Fundação Getúlio Vargas EASP; Chief of Innovation Office at City of São Paulo, Brazil)
- 2) **Mr. Jose Castillo** (Architect and Urban Planner, Design Critic in Urban Planning and Design at Harvard University, Cambridge, USA; co-founder of arquitectura 911sc, Mexico City, Mexico)
- 3) **Ms. Anna Dietzsch, AIA** (Architect, Managing Director, Davis Brody Bond Architects and Planners, New York, USA and São Paulo, Brazil)
- 4) **Dr. Elisabete França** (Architect, Director of Planning, State of São Paulo, Brazil)
- 5) **Prof. Hubert Klumpner** (Architect, Professor of Architecture and Urban Design at ETH Zürich, Switzerland; Partner, Urban Think Tank, Zürich, Switzerland)
- 6) **Prof. Dr. Carlos Leite** (Architect and Urbanist, Professor at Universidade Presbiteriana Mackenzie, São Paulo; Partner, Stuchi & Leite Projetos & Consultoria, São Paulo, Brazil)
- 7) **Ms. Adriana Levisky** (Architect and Urbanist, Levisky Architects, São Paulo, Brazil)
- 8) **Dr. Fernando Mello de Franco** (Architect, Municipal Secretary of Urban Development, City of São Paulo, Brazil)
- 9) **Prof. Georgeen Theodore, AIA** (Architect, Urban Designer, Director and Associate Professor at New Jersey Institute of Technology, USA; Principal, Interboro Partners, New York, USA)
- 10) **Prof. Dr. Dr. h.c. Paola Viganò** (Architect, Professor of Urban Theory and Urban Design at EPF Lausanne, Switzerland and Università IUAV of Venice, Italy; Principal, Studio Paola Viganò, Milan, Italy)
- 11) **Schindler Brazil Representative**
- 12) **Schindler Group Representative**

Moderator and alternate juror: Prof. Ir. Kees Christiaanse (Architect, Professor of Architecture and Urban Design at ETH Zürich, Switzerland; ETH Zürich, Founder, Partner, KCAP, Rotterdam, Netherlands and Zürich, Switzerland)

The alternate juror will not be entrusted with the pre-selection of the award entries.

### 10.1 Composite

*“The jury is comprised of:*

- a) *Qualified professionals from the decisive disciplines in relation to the main tasks of the competition (professional jury members). The professional jury members should dispose in their discipline of at least equivalent qualifications as required from the Participants.*
- b) *Other persons freely chosen by the contracting authority.” (SIA 142, Art. 10.3)*

### 10.2 Partiality and Grounds for Recusal

*“The jury members are responsible towards the contracting authority and the Participants for ensuring that the competition procedure is duly implemented according to the regulations specified herein.” (SIA 142, Art. 10.1)*

*The jury evaluates the competition entries and decides on the ranking and the award of the prizes and eventual honourable mentions. It formulates the evaluation report and the recommendations on how to proceed further. (SIA 142, Art. 10.2)*

*“The jury members are obliged to objectivity as well as respect of the regulations specified herein, the competition brief and the questions-and-answers (Q&A) document. They must declare any facts that might affect their objectivity.” (SIA 142, Art. 10.5)*

*“Whoever participates as a jury member or expert refrains from any direct or indirect participation to the competition. Jury members are prohibited from accepting any commission related to the competition concerned except only a commission for further consultancy of the contracting authority.*

*Contacts between members of the jury and Participants in relation with the competition task are not permitted.” (SIA 142, Art. 10.7)*

*“The jury can at any time consult external experts for consultancy on special questions. Said experts retain only an advisory function in the evaluation procedure and do not have the right to vote.” (SIA 142, Art. 11)*

## 11 Participants

The competition is open to all students in their final year of study, including thesis year, in a bachelor's course and those enrolled in a master's course at a worldwide university or college of architecture, landscape architecture, urban design and planning, and their international equivalents (herein referred to as “Participants”).

Participants must fill in the online registration form on the Schindler Global Award website at [www.schindleraward.com](http://www.schindleraward.com). Registration is open from 16<sup>th</sup> June 2016 until 16<sup>th</sup> December 2016. Applications for registration may be submitted by individuals or by teams. The support of a university faculty member during the competition for every entry is mandatory.

All Participants must provide an email address for themselves, if entering individually, or a single one, if entering as a team, through which they can be contacted. All applications for registration will be confirmed by email within seven days.

Each individual or team whose registration is accepted will receive an official entry number. This entry number shall thereafter be quoted in all future correspondence with the competition management.

Participants who apply to enter the competition as a team must designate one team member as a spokesperson to whom all correspondence throughout the competition will be addressed.

The internal distribution within the team of any prizes, honorable mentions or other remuneration, as the case may be, is the responsibility of the team and will be in no way adjudicated, allotted or decided by the competition organizers.

*“The following are excluded from participating in the competition:*

- a) anyone employed by the contracting authority, any jury member or expert specified in the competition brief;*
- b) anyone who is closely related or has any kind of dependence or close professional relationship to a jury member, or expert specified in the competition brief;*
- c) anyone in charge of the organization of the competition.*

*Someone who has provided preliminary studies before the start of the competition may take part in the competition as long as, according to the jury, he does not derive any inadmissible competitive advantages from his previous activity. In any case, preliminary services and their providers are to be named in the programme and the results of their work must be made available to all Participants.” (SIA 142, Art. 12.2)*

*“Participants are not allowed to contact the contracting authority, jury members, or an expert prior to the jury decision, with questions regarding the competition.” (SIA 142, Art. 12.3)*

*“Efforts of a participant to get a commission, which does not correspond to the final recommendation of the jury are not permitted.” (SIA 142, Art. 12.4)*

## 12 Dates

### 12.1 Term of Registration

Registration for the competition is open from the 16<sup>th</sup> June to the 16<sup>th</sup> December 2016. The registration deadline enables the competition organizers to anticipate the number of final competition entries that will be submitted later in the competition, for general organizational purposes.

### 12.2 Delivery of Competition Material

All the documents necessary for participating in the competition will be available on the Schindler Website [www.schindleraward.ch](http://www.schindleraward.ch) from the launch of these official Competition Rules until competition entries close December 2016. It is necessary to register for the competition in order to download the complete package of competition material. All documents regarding the competition are expressly for the use of competition entries for the Schindler Global Award competition only and shall not be used for any other means or distributed to third parties not participating in the competition.

### 12.3 Site Visit

Site visits are not compulsory or expected, and any visits made by participating teams will not be reimbursed or otherwise funded by the competition authority.

### 12.4 Questions and Answers

*“The Participants can submit anonymously in written form questions concerning the competition brief up to the 9<sup>th</sup> of September 2016. The contracting authority together with the assigned consultant, compile all questions and answers (Q&A)- summarized where necessary - and assembles them within the indicated time frame in a document delivered to all Participants.” (SIA 142, Art. 14.1)*

The Schindler Group has appointed the Chair of Architecture and Urban Design, Prof. Ir. Kees Christiaanse at ETH Zürich “as external experts and specialist department, which can be consulted by the Participants for clarifications. These treat the information with absolute confidentiality and ensure that anonymity is maintained, that the information they provide is objective and that no transfer of ideas takes place through their advice. This consultation does not replace the final evaluation by the jury. These experts do not take part in the evaluation procedure of the jury, but provide the jury with a written preliminary technical report.” (SIA 142, Art. 14.2)

*“If a major change of the [competition] brief takes place as a result of the Q&A round, the submission deadline must be postponed appropriately.” (SIA 142, Art. 14.3)*

## **12.5 Time for Project Development**

Due to the complexity of the competition task and in order to enable schools to participate in the competition in form of a design studio, the competition lasts for six months. It starts on the 16<sup>th</sup> June, 2016 and lasts until the 30<sup>th</sup> December, 2016.

## **12.6 Project Submission**

The documents of the competition entries shall be submitted digitally on the website. The entry deadline is on the 30<sup>th</sup> December 2016, at midnight (Central European Winter Time).

## **12.7 Jurying**

The official jury meeting is scheduled to take place on the 2<sup>nd</sup> and 3<sup>rd</sup> February 2017 in São Paulo, Brazil.

## **12.8 Publication**

All competition entries which passed the technical evaluation will be published on the website of the Schindler Global Award. Winning competition entries and the names of their authors and respective schools will be published on the websites of Schindler and of the Schindler Global Award. Award winning competition entries will also eventually be promoted in publications and/or advertising worldwide. Prize winning projects will be presented in an exhibition as well as in a publication during the award ceremony. Participants, along with their college or university that are nominated for prizes shall not speak to media outlets about their nomination or projects prior to the award ceremony.

## **13 Documents**

The competition organization will provide map bases at the required scales, as well as an introduction to the competition site, as part of the Competition Rules. All documents necessary for participation in the competition will be available on the day of the competition launch, following acceptance of registration applications.

### 13.1 Competition Material Provided

Once registration to enter the Schindler Global Award has been accepted, Participants will receive an access key, via email, for the digital data on the competition website. This data contains detailed information about the organization, the competition task, photographs of the competition site, maps and plans. The Participants will be able to download the following competition material after confirming the acceptance of these Competition Rules:

1. Competition Rules
2. Competition Brief
3. DXF and DWG maps
4. Set of site and context photographs

## 14 Entry Requirements

The project should be described in a comprehensive storyline, a narrative structure that explains the logical coherence between the analytical thoughts and the resulting design. A range of scenarios can be tested with impact assessments of their possible spatial, social, economic and environmental consequences. Only one proposal per entry is allowed. Detailed information about all requirements will be provided in the official brief.

Complete competition entries will consist of the following:

### Drawings

2 A-0 panels landscape format, containing maps in a range of scales (eg. regional, inter-city, city, neighborhood and block scales). A range of representational modes (plans, sections, elevations, isometric drawings, diagrams and visualizations) should be used to explain the project.

### Booklet

A booklet of a maximum of 15 pages in size A4, containing additional information such as analysis, preliminary studies, calculations, narration, and the derivation of the project through written annotations, diagrams and drawings must be submitted in addition to the drawings, but it is of secondary importance for the purpose of evaluation.

### 14.1 Digital Data

All documents must be submitted digitally in PDF format on the competition website, in order to reduce the expenses incurred by Participants. The competition entries must be submitted with the registration number on every page. Prize winning groups will be required to submit their editable high resolution source files within three (3) days of notification of nomination.

### 14.2 Representation

The quality of the representation is part of the evaluation criteria. A clear and understandable means of representation is advised. The representation can be in full color or black and white. Models cannot be directly submitted, but photographs of models may be included in the drawing set.

## 15 Identification

All documents must bear the entry number assigned at the registration in the lower right-hand corner. Any competition entries containing names of the Participants, team names, the schools or any hint to them will be excluded from the competition.

The registration form must include the following information:

- Names of all team members
- Information about the designated contact person, including email, mailing address and telephone number

## 16 Public Evaluation

There will not be any public evaluation of the competition prior to the jury session. The jury will judge the competition entries during the official jury session and present their results, including prize allocation, during the award ceremony. The comments of the jury will be published together with the winning projects.

## 17 Liability

To the extent permitted by applicable law, Schindler Group and ETH Zürich exclude any and all liability towards the Participants and the affiliated universities.

## 18 Data Protection

The Participants consent to their personal data, which is provided to the contracting authority, being used for the purpose of preparing and executing the Schindler Global Award. The Participants consent to their **personal data being transferred outside of Switzerland and of the European Economic Area**.

## 19 Order of Precedence

In the event of a dispute, the following order of precedence shall prevail:

1. these Competition Rules;
2. Question and Answers by the contracting authority;
3. Regulations for Architecture and Engineering Competitions, SIA 142, edition 2009.

## 20 Applicable Law and Venue

These Schindler Global Award and these Competition Rules and are governed by the **Laws of Switzerland, without regard to the conflict of laws provisions**.

Disputes arising under, or in connection with, these Competition Rules shall be exclusively subject to the jurisdiction of the competent court of Lucerne, Switzerland. However, any recourse to courts of law by Participants regarding jury decisions is explicitly excluded.



By signing this document I confirm my participation in the Schindler Global Award Jury 2017 and agree to the Rules of the competition.

Dr. Fernando Mello de Franco

Dr. Elisabete França

Ms. Anna Dietzsch, AIA

Prof. Hubert Klumpner

Prof. Dr. Ciro Biderman

Prof. Georgeen Theodore, AIA

Prof. Dr. Carlos Leite

Ms. Adriana Levisky

Mr. Jose Castillo

Prof. Dr. Dr. h.c. Paola Viganò

Schindler Brazil Representative

Schindler Group Representative

Prof. Christiaanse, Kees  
Moderator